

## ST ALBANS GIRLS' SCHOOL

	<b>MALPRACTICE IN EXAMINATION AND ASSESSMENTS PROCEDURE (Statutory)</b>		
	<b>GB sub-committee: Curriculum, Assessment and Standards</b>		
	<b>Co-ordinator: Mrs M Maddison</b>		
	<b>Last Reviewed: Spring 2022</b>	<b>Next Review: Spring 2023</b>	

Signed by   
Margaret Chapman (Head Teacher)

Signed by   
Claire Barnard (Chair of Governors)

<b>1.</b>	<b>RATIONALE</b>	
<b>1.1</b>	To ensure the Head of Centre, Examination Officer and all others involved in managing the delivery of general and vocational qualifications understand that 'Malpractice includes maladministration and non-compliance of any act, default or practice that is a breach of the Regulations which:	
<b>1.1.1</b>	Compromises, attempts to compromise or may compromise the process of assessment, the integrity of any qualification or the validity of a result or certificate.	
<b>1.1.2</b>	Failure by a centre to notify, investigate and report to an awarding body allegations of suspected malpractice constitutes malpractice	
<b>1.1.3</b>	Failure to take action as required by an awarding body as detailed in Appendix	
<b>2.</b>	<b>AIMS</b>	
<b>2.1</b>	To identify and minimise the risk of malpractice by staff or students	
<b>2.2</b>	To respond to any incident of alleged malpractice promptly and objectively	
<b>2.3</b>	To standardise and record any investigation of malpractice to ensure openness and fairness.	
<b>2.5</b>	To protect this centre against all allegations of malpractice in relation to examinations and assessment that might undermine the integrity and validity of the examination or assessment, the certification of qualifications and/or damage the authority of those responsible for conducting the assessment and certification.	
<b>3.</b>	<b>PROCEDURES</b>	
<b>3.1</b>	St Albans Girls' School will not tolerate actions or attempted actions of malpractice by candidates or staff. Every case of malpractice must be reported to the Head of Centre, the Examinations Officer or to the Quality Nominee for BTEC qualifications. (Appendix 1)	
<b>3.2</b>	In all instances of malpractice reference will be made to the JCQ publication Suspected Malpractice in Examinations and Assessments, Policies and Procedures, 1 September 2021 to 31 August 2022. This document can be downloaded from the JCQ website at: <a href="http://www.jcq.org.uk/exams-office/malpractice">http://www.jcq.org.uk/exams-office/malpractice</a> . The document sets out examples of malpractice by staff and candidates and details the procedures for investigating and following up instances of alleged or actual malpractice and the sanctions to be applied:	
<b>3.3</b>	In accordance with the regulations, STAGS will inform the awarding body of any alleged, suspected or actual incidents of malpractice or maladministration, involving a candidate or member of staff, by completing the appropriate documentation ( Form JCQ/M1 Suspected candidate malpractice or Form JCQ/M2 Centre Staff malpractice/maladministration).	
<b>3.4</b>	As required by an awarding body, the head of centre will gather evidence of any alleged or suspected malpractice/mal administration in accordance with the JCQ publication Suspected Malpractice Policies and Procedures and within the deadlines set by the awarding body.	

	<b>3.5</b>	If, in the view of the investigator, there is sufficient evidence to implicate an individual, that individual ( Candidate or a member of staff) will be informed of the rights of the accused individual.
	<b>3.6</b>	The awarding body will determine the application of a sanction according to the circumstances and qualification involved.
	<b>3.7</b>	The head of centre will communicate the decision to the individual concerned and provide the individual with information on the process for submitting an appeal, where relevant
<b>4.</b>	<b>MONITORING</b>	
	<b>4.1</b>	The policy will be reviewed every two years in order to reflect JCQ updates.
	<b>4.2</b>	This policy will be maintained regularly through line management meetings with a member of the senior leadership team, the exams officer and the Head of Centre to ensure all procedures are undertaken accurately and robustly.

**BTEC Assessment Malpractice Policy Statement  
St Albans Girls' School**

**AIM**

- To identify and minimise the risk of malpractice by staff or students
- To respond to any incident of alleged malpractice promptly and objectively
- To standardise and record any investigation of malpractice to ensure openness and fairness
- To impose appropriate penalties and/or sanctions on students or staff where incidents (or attempted incidents) of malpractice are proven
- To protect the integrity of this centre and BTEC qualifications

**IN ORDER TO DO THIS, THE CENTRE WILL**

- Seek to avoid potential malpractice by using the induction period and the student handbook to inform students of the centre's policy on malpractice and the penalties for attempted and actual incidents of malpractice
- Show students the appropriate formats to record cited texts and other materials or information sources
- Ask students to declare that their work is their own
- Ask students to provide evidence that they have interpreted and synthesised appropriate information and acknowledged any sources used
- Conduct an investigation in a form commensurate with the nature of the malpractice allegation. Such an investigation will be supported by the Head of Centre and all personnel linked to the allegation. It will proceed through the following stages:
  - The Allegation
  - The awarding body's response
  - The investigation
  - The Report
  - The decision
  - The appeal
- Make an individual fully aware at the earliest opportunity of the nature of the alleged malpractice and of the possible consequences should malpractice be proven
- Give the individual the opportunity to respond to the allegations made
- Inform the individual of the avenues for appealing against any judgment made
- Document all stages of any investigation
- Where malpractice is proven, this centre will apply penalties/sanctions with reference to the Appendix 1, *JCQ Suspected Malpractice in Examinations and Assessment Policies and Procedures; 1st September 2014 to 3rd August 2015.*

## **DEFINITION OF MALPRACTICE BY STUDENTS**

- This list is not exhaustive and other instances of malpractice may be considered by the centre at its discretion:
- Plagiarism of any nature
- Collusion by working collaboratively with other students to produce work that is submitted as individual student work
- Copying (including the use of ICT to aid copying)
- Deliberate destruction of another's work
- Fabrication of results or evidence
- False declaration of authenticity in relation to the contents of a portfolio or coursework
- Impersonation by pretending to be someone else in order to produce the work for another or arranging for another to take one's place in an assessment
- Definition of Malpractice by Centre Staff
- This list is not exhaustive and other instances of malpractice may be considered by this centre at its discretion:
- Improper assistance to candidates
- Inventing or changing marks for internally assessed work where there is insufficient evidence of the candidates' achievements to justify the marks given or assessment decisions made
- Failure to keep candidate coursework/portfolios of evidence secure
- Fraudulent claims for certificates
- Assisting students in the production of work for assessment, where the support has the potential to influence the outcomes of assessment, for example where the assistance involves centre staff producing work for the student
- Producing falsified witness statements, for example for evidence the student has not generated
- Allowing evidence, which is known by the staff member not to be the student's own, to be included in a student's assignment
- Facilitating and allowing impersonation
- Misusing the conditions for special student requirements, for example where students are permitted support, such as an amanuensis (scribe), this is permissible up to the point where the support has the potential to influence the outcome of the assessment
- Falsifying records/certificates, for example by alteration, substitution, or by fraud
- Fraudulent certificate claims, that is claiming for a certificate prior to the student completing all the requirements of assessment